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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p>		Application Number	60/288,944
		Filing Date	May 04, 2001
		First Named Inventor	Steven M. Rothman
		Group Art Unit	none
		Examiner Name	none
Total Number of Pages in This Submission	4	Attorney Docket Number	1908-95650

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits / declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Matthew A. Rosenberg
Signature	40,149
Date	May 06, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 06, 2002			
Typed or printed name	Matthew A. Rosenberg		
Signature		Date	May 06, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 500.00

Complete if Known

Application Number	60/288,944
Filing Date	05/04/2001
First Named Inventor	Steven M. Rothman
Examiner Name	
Group Art Unit	
Attorney Docket No.	1908-95650

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-1572**
Deposit Account Name **Blumenfeld, Kaplan & Sandweiss**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370	Utility filing fee	370.00
106	330	206 165	Design filing fee	
107	510	207 255	Plant filing fee	
108	740	208 370	Reissue filing fee	
114	160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims **12** -20** = **0** x **9.00** = **0.00**
Independent Claims **3** -3** = **0** x **42.00** = **0.00**
Multiple Dependent **140.00** = **0.00**

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9	Claims in excess of 20
102	84	202 42	Independent claims in excess of 3
104	280	204 140	Multiple dependent claim, if not paid
109	84	209 42	** Reissue independent claims over original patent
110	18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65	Surcharge - late filing fee or oath	
127	50	227 25	Surcharge - late provisional filing fee or cover sheet	
139	130	139 130	Non-English specification	
147	2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115	110	215 55	Extension for reply within first month	
116	400	216 200	Extension for reply within second month	
117	920	217 460	Extension for reply within third month	
118	1,440	218 720	Extension for reply within fourth month	
128	1,960	228 980	Extension for reply within fifth month	
119	320	219 160	Notice of Appeal	
120	320	220 160	Filing a brief in support of an appeal	
121	280	221 140	Request for oral hearing	
138	1,510	138 1,510	Petition to institute a public use proceeding	
140	110	240 55	Petition to revive - unavoidable	
141	1,280	241 640	Petition to revive - unintentional	
142	1,280	242 640	Utility issue fee (or reissue)	
143	460	243 230	Design issue fee	
144	620	244 310	Plant issue fee	
122	130	122 130	Petitions to the Commissioner	130.00
123	50	123 50	Processing fee under 37 CFR 1.17(q)	
126	180	126 180	Submission of Information Disclosure Stmt	
581	40	581 40	Recording each patent assignment per property (times number of properties)	
146	740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370	Request for Continued Examination (RCE)	
169	900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

Name (Print/Type)	Matthew A. Rosenberg	Registration No. (Attorney/Agent)	40,149	Telephone	(314) 863-0800
Signature		Date	05/06/2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

In re Application of:

Steven M. Rothman

For:

SYSTEM AND METHOD FOR COOLING THE CORTEX TO TREAT
NEOCORDICAL SEIZURES

Serial No.:

60/288,944

Filed:

May 04, 2001

Examiner:

none

Group Art Unit:

none

I hereby certify that this

Transmittal Form;
Fee Transmittal; and
Petition to Convert Provisional Application Under 37 C.F.R. §1.53(c)(3)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231
on May 06, 2002
(Date)

Matthew A. Rosenberg

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EL805227290US

("Express Mail" Mailing Label Number)

#2



Attorney Docket No. 1908-95650

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Steven M. Rothman

For:

SYSTEM AND METHOD FOR COOLING THE
CORTEX TO TREAT NEOCORDICAL
SEIZURES

Serial No.:

60/288,944

Filed:

May 4, 2001

Examiner:

none

Group Art Unit:

none

Commissioner for Patents
Box PROVISIONAL PATENT APPLICATION
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

PETITION TO CONVERT PROVISIONAL APPLICATION UNDER 37 C.F.R. §1.53(c)(3)

COMES NOW the Applicant, Steven M. Rothman, by and through counsel and respectfully petitions the Director under 37 C.F.R. §1.53(c)(3) to convert the above-identified provisional patent application to a non-provisional application under 37 C.F.R. §1.53(b), and for his Petition states as follows:

1. Applicant filed the above-identified patent application as a provisional application on May 4, 2001, which is pending until May 6, 2002.
2. Applicant wishes to convert the aforesaid application to a non-provisional application.
3. No amendment is necessary, as the application was originally filed with claim all information necessary for a non-provisional application under 37 C.F.R. §1.53(b)

05/23/2002 JTIPPETT 00000004 501572 60288944
01 FC:122 130.00 CH
02 FC:201 370.00 CH

4. Applicant has attached hereto a Fee Transmittal form authorizing the appropriate fees for both the non-provisional application and for this Petition.

5. Applicant has engaged the undersigned as new counsel to prosecute the aforesaid application, who is acting under 37 C.F.R. §§1.31 and 1.33(a) in signing this Petition.

6. Accordingly, ***Applicant seeks a change in correspondence address to that of Customer No. 25716.***

WHEREFORE, Applicant prays that the Director convert the above-identified provisional application to a non-provisional application ***and change the correspondence address to that of Customer No. 25716.***

Respectfully submitted,



Matthew A. Rosenberg
Reg. No. 40,149
Attorney for Applicant

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